



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

In re Ápplic	ation of:	)
Prog	ulske-Fox	)
8		) Examiner: Not Assigned
Serial No.:	09/980,845	)
	*	) Group Art Unit: Not Assigned
Filed:	August 4, 2000	)
	3	) Conf. No. 3701
For: Micr	obial Polynucleotides Expressed	)
	ng Infection of a Host	)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR §1.181(A)

This paper is filed in response to the notification of abandonment mailed in the above-mentioned application on March 3, 2006. Applicants respectfully request reconsideration of the holding of abandonment.

The notification of abandonment states that the Applicant failed to respond to the notification of missing requirements mailed February 28, 2002 within the time period set therein. See, Appendix A. Therefore, it appears that Office has abandoned the application based on a failure to reply within a set time period. See, MPEP §711.03(b). However, the Applicants clearly responded in a timely manner to the notification of missing requirements mailed on February 28, 2002. A response to the notice was filed on March 27, 2002 and a stamped postcard was received from the Office indicating that the response was indeed received. See, Appendix B. Additionally, the response was filed under the provisions of 37 CFR §1.8 by the undersigned. The undersigned states that the response was filed properly on March 27, 2002 under the requirements of 37 CFR §1.8. The response included the required declaration, a paper copy of the

sequence listing, a computer-readable form of the sequence listing, a statement under 37 CFR §1.821, and a fee. *See*, Appendix B. Therefore, the application cannot be abandoned for failure to timely respond to the notification of missing requirements of February 28, 2002.

On June 12, 2002 a notification of defective response was issued by the Office. See, Appendix C. The notification stated that the computer readable form filed with the application was found to be damaged and/or unreadable as evidenced by the attached CFR Problem Diskette Report. No CFR Problem Diskette Report was attached. The notification also stated that the computer readable form did not comply with the sequence listing requirements. A Raw Sequence Listing Error Report was attached to the notification. Applicants assumed that the computer readable form of the sequence listing was not damaged or defective since a Raw Sequence Listing Error Report was generated. A response was timely filed by the applicants on June 24, 2002 under the requirements of 37 CFR §1.8. The undersigned states that the response was filed properly on June 24, 2002 under the requirements of 37 CFR §1.8. A stamped postcard was received from the Office to evidence receipt. See, Appendix D. It was the undersigned's good faith belief that all errors listed in the raw sequence listing error report were corrected in the response of June 24, 2002. See, Appendix D.

Two and one half years later, on January 3, 2005, another notification of defective response was received from the Office. *See*, Appendix E. The notification stated that (1) the content of the computer readable form submitted on June 24, 2002 did not comply with the requirement of Annex C as indicated by the attached marked up copy of the "Raw Sequence Listing"; and (2) that the computer readable form was found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. However, no Raw Sequence Listing Error Report or Diskette Problem Report was attached to the notification.

On January 24, 2005 the undersigned requested the Raw Sequence Listing Error Report and/or Diskette Problem Report from Examiner Vonda Wallace via both phone and facsimile. *See,* Appendix F. No response was received from Examiner Wallace. Since no extensions of time were available to applicants, a response was filed on February 3, 2005 under the requirements of 37 CFR §1.8. The undersigned states that the response was filed properly on February 3, 2005 under the requirements of 37 CFR §1.8. A stamped postcard was received from the Office to

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, IL 60606 TELEPHONE (312) 913-0001

Į,

evidence receipt. See, Appendix G. The response included an explanation of the situation and a

written copy and computer-readable form of the sequence listing. See, Appendix G.

Well over a year later, on March 3, 2006, a notice of abandonment for failure to reply to the

notification of missing requirements of February 28, 2002 was issued. See, Appendix H.

Attached to the notification was a Raw Sequence Listing Error Report dated January 18, 2006. It

is unclear which version of the sequence listing was used to generate this report or why a copy of

the Raw Sequence Listing Error Report from the January 3, 2005 notification was never provided

to the Applicants.

All replies have been filed within time periods set within the notifications. The Office has not

alleged that the application has been abandoned based on an insufficiency of reply. See, MPEP

§711.03(a). Applicants have made a good faith effort to timely and correctly respond to the

notifications received from the office despite the fact that the notifications were incomplete

and/or inconsistent (i.e., missing reports or stating that the disk was damaged and/or unreadable

and providing a report from a clearly readable disk) and a request for clarification went

unanswered. Applicants respectfully request that the application be reinstated.

A new written copy and computer readable form of the sequence listing is attached. The new

version of the sequence listing addresses the errors in the Raw Sequence Listing Report attached

to the Notification of Abandonment. Applicants respectfully request that this version of the

sequence listing be entered into the application in place of previously submitted versions of the

sequence listing. The written and computer readable forms of the sequence listing are identical

and add no new matter.

Respectfully submitted,

Dated: 3/16/06

By:

Reg. No. 43,673

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, IL 60606 TELEPHONE (312) 913-0001

3

MAR 2 0 2006 In re Ap

### PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of	)
	) Group Art Unit: TBA
Progulske-Fox	)
•	) Examiner: TBA
Serial No. 09/980,845	)
	) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000	)
D. C. 1000	) Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999	)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

### TRANSMITTAL LETTER

Asst. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Response to Notice to File Missing Parts;
  - b) Declaration and Power of Attorney;
  - c) Statement Under 37 C.F.R. § 1.821
  - d) Paper copy of Sequence Listing and Diskette copy;
  - e) Filing Fee Check;
  - f) Return postcard.
- 2. With respect to fees:
  - a) A check in the amount of \$65.00 is enclosed.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on March 27, 2002.

Date: March 27, 2002

Lisa M.W. Hillman Registration No. 43,673

McDonnell Boehnen Hulbert & Berghoff 300 South Wacker Drive Chicago, IL 60606 (312)913-0001

Controlisaciner for Patients, Box PUT United States Patient and Emdomark Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO

09/980.845

Ann Progulske-Fox

00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

I.A. FILING DATE

PRIORITY DATE

08/04/2000

08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

CONFIRMATION NO. 3701 371 FORMALITIES LETTER

\*OC000000007521267\*

Date Mailed: 02/28/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International ApplicationCopy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement

DOCKETED

MAR 0 8 2002

DUE DATE: 4/28/02

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 305-3736

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/905 (371 Formalities Notice)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
••	) Group Art Unit: TBA
Progulske-Fox	)
•	) Examiner: TBA
Serial No. 09/980,845	)
	) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000	)
	) Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999	)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

The attached executed declaration is submitted in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed on February 28, 2002, in the above-mentioned case. Also enclosed is a paper copy of the sequence listing, a diskette containing the sequence listing and Statement Under 37 C.F.R. §1.821. A check for sixty-five dollars (\$65) for an oath or declaration surcharge is enclosed. It is believed that no further fee is due to make this filing complete; however, if a fee or credit is due the Commissioner is authorized to charge or credit our Deposit Account No. 13-2490.

Date: 3/27/07

Respectfully submitted,

Registration No.: 43,673

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on 3/27/0.2

Date: 3/27/02

Lisa M.W. Hillman



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No.00-505-B)

~		
In the	Application of:	)
	Progulske-Fox	) Art Group: Not assigned
Serial	No.: 09/980,845	) )
Filed:	August 4, 2000	) Examiner: Not assigned )
For:	Microbial Polynucleotides Expresso During Infection of a Host	ed ) )
P.O. Bo	issioner for Patents ox 1450 dria, VA 22313-1450	
	TRANS	SMITTAL LETTER
Sir:		
1. The Compact Disc contained herein ("00-505 ST25") submitted under 37 C.F.R.§1.52(e):		
<ul> <li>☑ Is formatted for IBM-PC Machines</li> <li>☑ Is compatible with MS-Windows Operating System</li> <li>☑ Contains the file "00-505 ST25" which is 26,624 bytes in size and was created on 03/16/2006.</li> </ul>		
submitt		The undersigned certifies that the original CD-R PY 1) and the copy CD-R entitled "00-505 ST25" by C.F.R. § 1.52(e)(4).
		Respectfully submitted,
		McDonnell Bochnen Hulbert & Berghoff LLP

Dated: March 16, 2006

By:

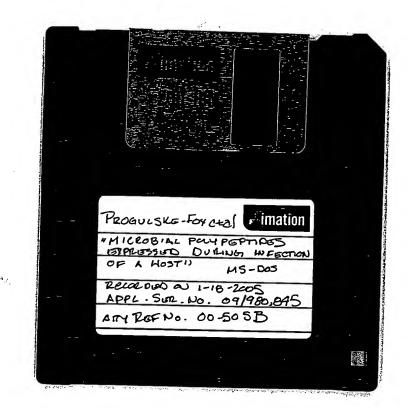
Lisa M.W. Hillman

Reg. No. 43,673

McDonnell Bochnen Huthert & Berghoff LLP 300 South Wacker Drive 32nd Floor Chicago, Illinois 60606

Chicago, Illinois 60606 Phone: 312-913-0001 Fax: 312-913-0002

### BEST AVAILABLE COPY



## BEST AVAILABLE COPY

Patents and Trademarks Hon. Commissioner of

SN 09/980,845

LMWH/tmp

Att

Re: Applicant Progulske-Fox

Case No.: 00-505-B

# Microbial Polynucleotides Expressed During Infection of a Host

Please place the Patent Office receipt stamp hereon and mail to acknowledge eceipt of:

Sir:

▼ Transmittal Letter (with Duplicate)▼ Response to Notice of Defective Response

☑ Paper and disk copy of correct sequence listing
 ☒ Return Receipt Postcard

Respectfully,

McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant

Fee Enclosed \$0.00

February 3, 2005



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Advers COMMISSIONER FOR PATENTS
P. DER 1610
According Vegins 22113-1450
Occupants

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT

ATTY, DOCKET NO.

09/980,845

Ann Progulske-Fox

00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

08/04/2000

08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff

300 S Wacker Drive Suite 3200

Chicago, IL 60606

**CONFIRMATION NO. 3701** 

371 ABANDONMENT/TERMINATION

LETTER

'OC000000018184385'

Date Mailed: 03/03/2006

### NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

 Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 02/28/2002 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

PART 3 - OFFICE COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

### MAR 20 2006 B

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)
) Group Art Unit: TBA
)
) Examiner: TBA
)
) Atty. Dckt. No.: 00-505-B
)
) Intnl. Appl. No.: PCT/US00/2130
)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

### STATEMENT UNDER 37 C.F.R. §1.821

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing.

Respectfully submitted,

Date: 3-27-02

Lisa M.W. Hillman Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on 3-27-02.

Date: 3-27-02

Lisa M.W. Hillman



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (00-505-B)

In re the Application of: Progulske-Fox	)
Serial No: 09/980,845	Group Art Unit: TBA
Filed: August 4, 2000	) Examiner: TBA
For: Microbial Polynucleotides Expressed During Infection of a Host	) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir/Madam:

The undersigned declares that the content of the Sequence Listing, submitted in the above-identified application in compliance with 37 C.F.R. § 1.52(e) on Compact Disc-Recordable (CD-R) medium in duplicate (COPY 1 and COPY 2) in licu of the paper copy under 37 C.F.R. § 1.821(c) and the computer readable form copy of the Sequence Listing, submitted in the above-identified application in accordance with 37 C.F.R. 1.821(e), are identical in content. The sequence listing, submitted herewith, does not extend beyond the scope of the specification, and thus, does not contain new matter.

Respectfully submitted,

McDonnell Bochnen Hulbert & Berghoff LLP

Dated: March 16, 2006

Lisa M.W. Hillman Reg. No. 43,673

PHONE: 312-913-0001 FAX: 312-913-0002

## MAR 20 2006 W

### SEQUENCE LISTING

Handfield, Martin Brady, Jeannine Progulske-Fox, Ann Hillman, Jeffrey D.

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in a garage

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Phe His Leu Met Arg Gln Glu Arg Val Lys Ala Ala Arg Arg Pro Ile 100 105 110

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The second second

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م در از این به <del>در سریم</del>ی از در این در این

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Thr Val Ile Tyr Gly Met Gly Asp Asp Tyr Asn Gly Asn Ile Arg Lys
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Lys Asp Leu Glu Thr Pro Thr Pro Tyr Asn Thr Tyr Val Ile Asp Gly 65 70 75 80

Leu Pro Pro Thr Pro Ile Ala Met Pro Ser Glu Glu Ala Leu Gln Ala 85 90 95

Val Ala His Pro Ala Gln Thr Ala Phe Tyr Tyr Phe Val Ala Asp Gly
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35 40 45

Lys Ala Thr Pro Ser His 50



## JOOS Rec'd PCT/FTO 0 8 APR 2002

Patents and Trademarks Hon. Commissioner of

S/N 09/980,845

LMWH Atty

Re: Applicant - Progulske-Fox, et al.

Case No. 00-505-B

Sir:

Microbial Polynucleotides Expressed During Infection Of A Host

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of Transmittal Letter

Signed Declaration Filing Fee Check

Other Response to Notice to File Missing Parts; Statement Under 3

APR 8 2002

Diskette and paper copy of sequence listing

Fee Enclosed

\$ 65.00 March 27, 2002

Respectfully, 'McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant



Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

09/980,845 Ann Progulske-Fox 00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606 1.A. FILING DATE PRIORITY DATE
08/04/2000 08/06/1999

CONFIRMATION NO. 3701
371 FORMALITIES LETTER

\*OC0000000008256428\*

Date Mailed: 06/12/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

DOCKETED

DUE DATE:

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

### VONDA M WALLACE

Telephone: (703) 305-3736

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/916 (371 Formalities Notice)

## MAR 2 0 2006 B

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
	) Group Art Unit: TBA
Progulske-Fox	) Examiner: TBA
Serial No. 09/980,845	)
	) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000	) Intnl. Appl. No.: PCT/US00/2130
	mun. Appl. No 1 C1/0500/2150
Priority Date: Aug. 6, 1999	)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

### TRANSMITTAL LETTER

Asst. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Response to Notice of Defective Response;
  - b) Written copy of sequence listing;
  - c) Computer Readable copy of sequence listing; and
  - d) Return postcard
- 2. With respect to fees:
  - a) It is believed no fee is due at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on June 24, 2002.

Date: June 24, 2002

Lisa M.W. Hillman Registration No. 43,673

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff 300 South Wacker Drive Chicago, IL 60606 (312)913-0001

### MAR 7.0 7006 W

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
••	) Group Art Unit: TBA
Progulske-Fox	)
	) Examiner: TBA
Serial No. 09/980,845	)
	) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000	)
<i>5</i> ,	) Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999	)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

### RESPONSE TO NOTICE OF DEFECTIVE RESPONSE

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This paper is filed in response to the Notification of Defective Response mailed on June 12, 2002, in the above-mentioned case. It is believed that no fee is due in connection with this filing; however, if a fee is due please charge our deposit account number 13-2490.

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing. Applicants respectfully request that the specification of the application be amended by

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on

4/24/02

Date: 6/24/07

Lisa M W Hillman

deleting the previously filed sequence listing and the entry of the enclosed sequence listing.

Respectfully submitted,

Date: 624/02

Lisa M.W. Hillman Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

## MAR 2 0 7006 W

### SEQUENCE LISTING

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      Brady, Jeannine
      Progulske-Fox, Ann
      Hillman, Jeffrey D.
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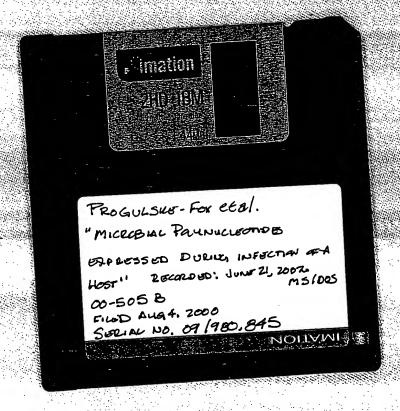
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Lys Ala Thr Pro Ser His 50



**BEST AVAILABLE COPY** 

Patents and Trademarks Hon. Commissioner of

Re: Applicant - Progulske-Fox

S/N 09/980,845

Microbial Polynucleotides Expressed During Infection Of A Host

Case No. 00-505-B

LMWH

Atty

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

Transmittal Letter 

Other Response to Notice of Defective Response; Sequence listing (computer readable

copy and written copy)

Fee Enclosed

\$ -0-June 24, 2002

Respectfully, McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant

**BEST AVAILABLE COPY** 



Hon. Commissioner of Patents and Trademarks

S/N 09/980,845

Case No. 00-505-B

LMWH

Atty

Microbial Polynucleotides Expressed During Infection Of A Host Re: Applicant - Progulske-Fox

525 Revident 01 JUL 2002

 Transmittal Letter
 Other Response to Notice of Defective Response; Sequence listing (computer readable copy and written copy) Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of: Transmittal Letter

\$ -0-June 24, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

Fee Enclosed



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

09/980,845 Ann Progulske-Fox 00-505-B

INTERNATIONAL APPLICATION NO.

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606 PCT/US00/21340

1.A. FILING DATE PRIORITY DATE 08/04/2000 08/06/1999

CONFIRMATION NO. 3701
371 FORMALITIES LETTER

\*OC0000000008256428\*

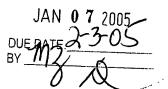
Date Mailed: 01/03/2005

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 11/15/2001
- Copy of the International Search Report filed on 11/15/2001
- Copy of IPE Report filed on 04/08/2002
- Information Disclosure Statements filed on 04/08/2002
- Biochemical Sequence Diskette filed on 04/08/2002
- Oath or Declaration filed on 04/08/2002
- Biochemical Sequence Listing filed on 04/08/2002
- Small Entity Statement filed on 11/15/2001
- Request for Immediate Examination filed on 11/15/2001
- Copy of references cited in ISR filed on 11/15/2001
- U.S. Basic National Fees filed on 11/15/2001

DOCKETED



Applicant's response filed 04/08/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/28/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/916 (371 Formalities Notice)



McDannell Boehnen Hulbert & Berghoff

### URGENT

#### Fax transmittal

**USPTO** 

Company 703 746 6711 Fax

Phone

Pages, with cover Examiner Vonda M. Wallace

Date From January 24, 2005 Lisa M.W. Hillman, Ph.D

Direct Email 312 935 2371 hillman@mbhb.com

C/M

665/

Dear Examiner Wallace:

This paper is filed in response to the notice of defective response issued in the abovementioned application on January 3, 2005. The notice states that the content of the computer readable form of the sequence listing does not comply with the sequence listing requirements and states that a marked-up copy of the raw sequence listing is attached to the notice. However, the marked-up copy of the raw sequence listing was not attached to the raw sequence listing. Applicants respectfully request that a copy of the marked-up sequence listing be sent to the undersigned at the Office's earliest convenience.

Thank, you.



McDonnell Boehnen Hulbert & Berghoff Law Offices

URGENT

#### Fax transmittal

To Company Fox Phone

Examiner Vonda M. Wallace USPTO 703 746 6711

Date From Direct Email January 24, 2005 Lisa M.W. Hillman, Ph.D 312 935 2371 hillman@mbhb.com 665/ 6

Dear Examiner Wallace:

1

This paper is filed in response to the notice of defective response issued in the abovementioned application on January 3, 2005. The notice states that the content of the computer readable form of the sequence listing does not comply with the sequence listing requirements and states that a marked-up copy of the raw sequence listing is attached to the notice. However, the marked-up copy of the raw sequence listing was not attached to the raw sequence listing. Applicants respectfully request that a copy of the marked-up sequence listing be sent to the undersigned at the Office's earliest convenience.

Thank you.

300 South Wacker Drive 312 913 0001 phone Chicago, Illinois 60806–6709 312 913 0002 fax www.mbhb.com

Plasso notify receptionist at 312 913 0001 if all pages are not received.
If you received this far in error, plasse notify as immediately by phone (collect) to arrange for the deciment.

LO

This transmittal is strictly for delivery only to the person tisted above, it may contain confidential or privileged information, the disclosure of which is prohibited.

\*\*\* ZEND 2NCCE22ENF \*\*\*

Pages sent

1ob:369

End time JAN-24 12:59

Start time JAN-24 12:58

> Noc. pages 10

117037466711 οŢ

14N-24 12:58 Date

> 369 qor

: MCDONNELL BOEHNEN HULBERT & BERCHOFF

Fax number: +3129130002

: 14N-54-05 12:59 əmiT





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

In re Applic	ation of:	1	
Serial No.: Filed: For: Mici	gulske-Fox 09/980,845 August 4, 2000 robial Polynucleotides Expresseding Infection of a Host	Examiner: To Be Assigned  Group Art Unit: To Be Assigned  History  Group Art Unit: To Be Assigned  History  His	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL LETTER			
1. We are transmitting herewith the attached papers for the above-identified patent application:			
☑ Transmittal letter (in duplicate):			

Response to Notice of Defective Response; Copy of Notification of Defective Response;

Paper copy of sequence listing;

☐ Diskette of sequence listing;
☐ Return Receipt Postcard

GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional

fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet

3. CERTIFICATE OF MAILING UNDER 37 CFR §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 3, 2005.

Dated: February 3, 2005

is enclosed.

Bv:

Lisa M.W. Ruman, P Reg. No. 43,673

2.

# MAR 2 0 2006 Mar 2 0 2006 In re A

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
m to ripphonau. or	) Group Art Unit: TBA
Progulske-Fox	) Examiner: TBA
Serial No. 09/980,845	) Danimies, 15.1
bollar 140. 03.350,0	) Atty. Dckt. No.; 00-505-B
International Filing Date: Aug. 4, 2000	)
	) Intnl. Appl. No.: PCT/US00/21340
Priority Date: Aug. 6, 1999	)

For: Microbial Polynucleotides Expressed During Infection of a Host

#### RESPONSE TO NOTICE OF DEFECTIVE RESPONSE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is filed in response to the Notification of Defective Response mailed on January 3, 2005, in the above-mentioned case. It is believed that no fee is due in connection with this filing; however, if a fee is due please charge our deposit account number 13-2490.

The Notification of Defective Response stated that the computer readable copy was unreadable and also stated that errors found in the sequence listing were attached to the Notice. However, there was no attachment to the Notice. Applicants requested the attachment from Examiner Vonda Wallace on January 24, 2005 via phone and facsimile. However, the attachment to the Notice has not been received by Applicants. Applicants respectfully request that any errors in the sequence listing be brought to their attention at the Office's earliest convenience.

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing. Applicants respectfully request that the specification of the application be amended by deleting the previously filed sequence listing and the entry of the enclosed sequence listing.

Date: 2/3/05

Respectfully submitted,

Lisa M.W. Hillman

Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

## MAR 2 0 2006

#### SEQUENCE LISTING

Handfield, Martin Brady, Jeannine Progulske-Fox, Ann Hillman, Jeffrey D.

<120> Microbial Polynucleotides Expressed During Infection of a Host

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Val Ala Asp Ala Ser Glu Asp Leu Thr Gln Leu Gln Gln Arg Leu Ala
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Gln Arg Asp Ile Leu Leu Thr Ala Pro Leu Ile Gly Glu Glu Asp Lys
Ser Ala Val Asp Phe Glu Asn Glu Ile Phe Val Ala His Gln Ala Leu
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                 85
Phe His Leu Met Arg Gln Glu Arg Val Lys Ala Ala Arg Arg Pro Ile
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Leu Met Gln Ala Gln Gln Phe Gln Trp Gln Phe Glu Pro Asn Gly Leu
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Ala Xaa Val Phe Arg Ser Phe Gly Lys Ala Xaa Ile Ile Ala Ser Thr
Ala Xaa Pro Ala Xaa Cys Val His Ile Ala Leu Thr Gly Phe Leu Ser
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Gly Arg Ile Asp Leu Val Ile Ser Gly Ile Asn Ala Gly Ala Asn Leu
Gly Asp Asp Val Leu Tyr Ser Gly Thr Val Ala Ala Ala Phe Glu Gly
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Arg His Leu Gly Leu Pro Ser Ile Ala Val Ser Leu Asp Gly Arg Gln
                            120
        115
His Phe Glu Thr Ala Ala Arg Val Val Cys Asp Leu Val Pro Lys Leu
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His Ala Gln Leu Leu Gly Lys His Glu Ile Leu Asn Ile Asn Val Pro
                                         155
Asp Val Pro Tyr Glu Glu Leu Lys Gly Ile Lys Val Cys His Leu Gly
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Tyr Arg Ser Ser Ala Ser Glu Val Ile Lys Gln Gln Ser Pro Arg Gly
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Thr Val Ile Tyr Gly Met Gly Asp Asp Tyr Asn Gly Asn Ile Arg Lys 50 - 55 60

Lys Asp Leu Glu Thr Pro Thr Pro Tyr Asn Thr Tyr Val Ile Asp Gly 65 70 75 80

Leu Pro Pro Thr Pro Ile Ala Met Pro Ser Glu Glu Ala Leu Gln Ala 85 90 95

Val Ala His Pro Ala Gln Thr Ala Phe Tyr Tyr Phe Val Ala Asp Gly
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Gly Glu Val Ile Ser Thr Arg Glu Pro Gly Gly Thr Pro Val Gly Gly 35 40 45

Lys Ala Thr Pro Ser His 50



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.napto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/980,845

Ann Progulske-Fox

00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

LA. FILING DATE

PRIORITY DATE

08/04/2000

08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

**CONFIRMATION NO. 3701 371 FORMALITIES LETTER** 

\*OC000000008256428\*

Date Mailed: 01/03/2005

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 11/15/2001
- Copy of the International Search Report filed on 11/15/2001
- Copy of IPE Report filed on 04/08/2002
- Information Disclosure Statements filed on 04/08/2002
- Biochemical Sequence Diskette filed on 04/08/2002
- Oath or Declaration filed on 04/08/2002
- Biochemical Sequence Listing filed on 04/08/2002
- Small Entity Statement filed on 11/15/2001
- Request for Immediate Examination filed on 11/15/2001
- Copy of references cited in ISR filed on 11/15/2001
- U.S. Basic National Fees filed on 11/15/2001

DOCKETED

JAN 0 7 2005

Applicant's response filed 04/08/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/28/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### **VONDA M WALLACE**

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/916 (371 Formalities Notice)

### STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source

Date Processed by STIC:

7/10/20

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
   U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06



DATE: 01/18/2006

TIMB: 15:36:00

IFWP

Input Set : A:\seqlistcorrected.2002.06.21.txt Output Set: N:\CRF4\01182006\1980845A.raw 3 <110> APPLICANT: Handfield, Martin Brady, Jeannine 5 Progulske-Fox, Ann Hillman, Jeffrey D. 6 8 <120> TITLE OF INVENTION: Microbial Polynucleotides Expressed During Infection of 9 a Host 11 <130> FILE REPERENCE: MBHB00-505B C--> 13 <140> CURRENT APPLICATION NUMBER: US/09/980,845A C--> 14 <141> CURRENT FILING DATE: 2001-11-15 16 <150> PRIOR APPLICATION NUMBER: 60/147,551 17 <151> PRIOR FILING DATE: 1999-08-06 19 <150> PRIOR APPLICATION NUMBER: PCT/US00/21340 Does Not Comply 20 <151> PRIOR FILING DATE: 2000-08-04 Corrected Diskette Needed 22 <160> NUMBER OF SEQ ID NOS: 20 24 <170> SOPTWARE: PatentIn Ver. 2.1 26 <210> SEQ ID NO: 1 27 <211> LENGTH: 849 28 <212> TYPE: DNA 29 <213> ORGANISM: Actinobacillus actinomycetemcomitans 31 <220> PEATURE: 32 <221> NAME/KEY: misc feature 33 <222> LOCATION: (566) 34 <223> OTHER INPORMATION: N stands for any nucleotide. 36 <220> FEATURE: 37 <221> NAME/KEY: misc\_feature 36 <222> LOCATION: (625) 39 <223> OTHER INFORMATION: N stands for any nucleotide. 41 <220> PEATURE: 42 <221> NAME/KBY: misc\_feature 43 <222> LOCATION: (627) 44 <223> OTHER INPORMATION: N stands for any nucleotide. 46 <220> PEATURE: 47 <221> NAME/KBY: misc\_feature 48 <222> LOCATION: (636) 49 <223> OTHER INFORMATION: N stands for any nucleotide. 51 <220> FEATURE: 52 <221> NAME/KEY: misc\_feature 53 <222> LOCATION: (650) 54 <223> OTHER INFORMATION: N stands for any nucleotide. 56 <220> FEATURE: 57 <221> NAME/KRY: misc feature 58 <222> LOCATION: (656) 59 <223> OTHER INFORMATION: N stands for any nucleotide.

RAW SECURNCE LISTING

PATENT APPLICATION: US/09/980,845A

RAW SEQUENCE LISTING DATE: 01/16/2006
PATENT APPLICATION: US/09/980,845A TIME: 15:36:00

Input Set: A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRP4\01182006\1980845A.raw

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     89 ccagtttcac ccgttgeget tccccgccgg acagggtggt agaggattgc cccaagcgaa 180
     90 tataagacaa geecaegtea ateagggttt geaatttaeg egeaateatt ggaatggeat 240
     91 egaaaaacte gegegeatet tecacegtea tgtecageac etgatgaatg gttttacett 300
     92 tgtageggat ttecagggtt tegegattgt aaegettgee tttacattgg tegeaaggea 360
     93 egtacacate gggcaggaag tgcattteca etttgattac gccgtcgccc tggcaggett 420
     94 acagegeeg eegegeacgt taaaactgaa acgeeeeggg ttataacege gegeacggge 480
     95 ttteggtaeg eeggcaaaca attegegaat eggegtgaat aegecegtgt aagttgeeeg 540
W--> 96 gttggaggt ggcgtgcgtc caatengget ttggttaata tcaatacttt atcgaaaaat 600
     97 tecaaacett taatggaett gtaengngaa acetengeat treetgeaen attaangegt 660
     98 nttgtgcaat anggaacaaa ntgtcgttaa tcagtgtaga atttacctta accggacacn 720
     99 congtgatgo uggtanatan goodacggga atgtotanat tgacgttttt caggttgtta 780
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     111 cattgcgtta acgtctaaat cacctttaga cactgcagtg tttggcaagg cgtagtcatg 120
     112 aataaaacgt attetacgte taagttgtat ttttettttg ccactttege tgegatttea 180
     113 gccacttggt gttccggtcc tgccatcacg cccactttga ttgttgccgg ggcttctgcc 240
     114 geoggttigt etgeeggige ggetteeggt tittetett cattacaage cegttaagge 300
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RAW SEQUENCE LISTING DATE: 01/18/2006
PATENT APPLICATION: US/09/980,845A TIME: 15:36:00

Input Set: A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRF4\01182006\1980845A.raw

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    89 ccaqtttcac ccqttqcqct tccccqccqq acaqqqtqqt agaggattgc cccaagcgaa 180
    90 tataagacaa geccaegtea ateagggttt geaatttaeg egeaateatt ggaatggeat 240
    91 eganaanete gegegeatet tecacegtea tgtecageae etgatgaatg gittiacett 300
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     93 ogtacacate gggcaggaag tgcatttcca cittgattac geogtegece tggcaggett 420
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     113 gecacttegt ettecentee tecateace cocacttte ttettecege gecttetec 240
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Input Set : A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRP4\01182006\I980845A.raw

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     136 gegettattt aagetaaaac accatettgg cattcaggga tttttateeg ggetattcac 180
     137 ttttgtcctg cgttccggtg ccagattatt gccgacatca ttactgaaaa acatctatca 240
     138 aaccttttta agaaaataac atgatgaaat taaactgtat tttaaaaata tccggaattt 300
    139 ccaccgcact ttttctagcg ggttgttcct caaattcaag tgcgccgacg caatcctctg 360
     140 agcaggcgaa ttctgttacg gctgtgaatc ccactgeggt gtacagtaag ccccgcactt 420
     141 tggataactt caacgattat gtgaatttet taaaaggtaa agcageggea gaaggegttt 480
     142 ctgccgacgt attgaatgca cassatasts ttsattatat tcsassatcc gtggatttgg 540
W--> 143 acgatcaaca agenggeaga attegeaage gtgatecaaa tgeecegeeg atdatmaatt 600
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     147 aattacgatg tgttatccac cttagccact cttgcttttg acggacgccg tgaagcctta 840
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RAW SEQUENCE LISTING DATE: 01/18/2006
PATENT APPLICATION: US/09/980.845A TIME: 15:36:00

Input Set : A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRF4\01182006\1980845A.raw

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207 <221> NAMB/KBY: misc feature
208 <222> LOCATION: (49)
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RAW SEQUENCE LISTING DATE: 01/18/2006
PATENT APPLICATION: U5/09/980,845A TIME: 15:36:00

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Output Set: N:\CRP4\01182006\1980845A.raw

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     278 ggctcctgcg tggtggaggt gtcgcagccg cgtaaacett gtgagcgctt atcgaaaaat 420
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     300 <222> LOCATION: (661)
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RAW SEQUENCE LISTING ERROR SUMMARY DATE: 01/18/2006 PATENT APPLICATION: US/09/980,845A TIME: 15:36:01

Input Set : A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRP4\01182006\1980845A.raw

#### Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; N Pos. 566.625.627,636.650,656.661.672,681.720,723 / Seq#:3; N Pos. 554.596 / Seq#:4; N Pos. 4.6.21.73.29.32.35,36.39.42.45.49.52.58.61.62.65.69.73.97 / Seq#:4; N Pos. 102.138.457.459.467 / Seq#:5; N Pos. 622.642.661.669.685.690.700 / Seq#:6; N Pos. 609.614.651.665 / Seq#:7; N Pos. 532.630.696.710.722.725 / Seq#:8; N Pos. 538 / Seq#:12; N Pos. 131.151.170.178.194.199.209 / Seq#:18; Xaa Pos. 43.50.59.66.69

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VERIFICATION SUMMARY DATE: 01/18/2006 PATENT APPLICATION: U8/09/980,845% TIME: 15:36:01

Input Set : A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRF4\01182006\1980845A.raw

L:13 M:270 C: Current Application Number differs, Replaced Application Number L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:96 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:540 M:341 Repeated in SeqNo=1 L:143 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:540 L:272 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:0 M:341 Repeated in SeqNo=4 L:334 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:600 M:341 Repeated in SegNow5 L:381 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:600 M:341 Repeated in SeqNo=6 L:429 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:480 M:341 Repeated in SeqNo=7 L:456 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8 after pos.:480 L:551 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:120 M:341 Repeated in SegNo=12 L:709 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:18 after pos.:32 M:341 Repeated in SegNo=18

- ~0\* . \*\* \*



Case No.: 00-505-B

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### Microbial Polynucleotides Expressed During Infection of a Host

the specification of which is attached hereto unless the following space is checked:

was filed on November 15, 2001 as United States Application Serial Number 09/980,845.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Number Country Day/Month/Year Filed
1. US00/21340 PCT August 4, 2000

2.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number Filing Date
1. 60/147,551 August 6, 1999

2.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number Filing Date Status: patented, pending, abandoned

1. 2.

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306

Principal attorney or agent: Lisa M.W. Hillman

Telephone number: 312-913-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor

Ann Progulske-Fox

Inventor's signature:

6392 Country Kgad 214 S., Keystone Heights, Florida 32656

Residence: Citizenship:

United States of America

Post Office Address:

6392 Country Road 214 S., Keystone Heights, Florida 32656

Full name of second joint inventor. Martin Handfield

Inventor's signature:

Residence:
Citizenship:
Post Office Address:

The property of the

Full name of third joint inventor: Jeannine L. Bradley

Inventor's signature:

Residence: Citizenship:

Post Office Address:

13214 NW County Road 231, Gainesville Florida 32609 United States of America 13214 NW County Road 231, Gainesville, Florida 32609

Full name of fourth joint inventor: Jeffrey D. Hillman

Date: 3/11/0 2
6424 SW 26<sup>th</sup> Place, Gainesville, Florida 32608
United States of America Inventor's signature: Residence:

Citizenship:

United States of America 6424 SW 26<sup>th</sup> Place, Gainesville, Florida 32608 Post Office Address:



IN THE UNITED STATE	(Case No.00-505-B)
In the Application of:	)
Progulske-Fox	) Art Group: Not assigned
Serial No.: 09/980,845	) ) Examiner: Not assigned
Filed: August 4, 2000	) )
For: Microbial Polynucleotides E During Infection of a Host	expressed )
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir:	TRANSMITTAL LETTER
	herein "00-505 ST25" submitted under 37 C.F.R.§1.52(e):
<ul> <li>☑ Is formatted for IBM-PC</li> <li>☑ Is compatible with MS-W</li> <li>☑ Contains the file "00-505 bytes in size and was created.</li> </ul>	'indows Operating System ST25" which is 26,624
2. Statement under 37 C.F.R. § 1. submitted herein, titled "00-505 ST2 (COPY 1) are identical in content as	52(e)(4): The undersigned certifies that the original CD-R 25" (COPY 2) and the copy CD-R entitled "00-505 ST25" required by C.F.R. § 1.52(e)(4).  Respectfully submitted,
	McDonnell Boehnen Hulbert & Berghoff LLP
Dated: March 16, 2006	By:

Reg. No. 43,673

McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive 32nd Floor

Chicago, Illinois 60c06 Phone. 312-913-0001 Fax: 312-913-0002